

**GREENBLUM & BERNSTEIN, P.L.C.**  
**Intellectual Property Causes**  
**1941 Roland Clarke Place**  
**Reston, VA 20191**  
**(703) 716-1191**

Attorney Docket No. P15742

In re application of : G. BASSON et al.                      Box Non-Fee  
 Serial No. : 08/860,465                      Group Art Unit: 3741  
 Filed : December 29, 1995                      Examiner: M.A. Neas  
 For : IMPROVEMENT TO A HELMET HAVING RESILIENT BENDING MEANS  
       IN THE LOWER REAR PORTION OF THE SHELL THEREOF  
 THE COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment under 37 C.F.R. 1.111 in the above-captioned application.

- Small Entity Status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously filed.  
 A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.  
 A Request for Extension of Time (in triplicate)  
 No Additional Fee.
- 
- 

The fee has been calculated as shown below:

| Claims<br>After<br>Amendment        | No. Claims<br>Previously<br>Paid For | Present<br>Extra | Small Entity |        | Other Than A<br>Small Entity |     |
|-------------------------------------|--------------------------------------|------------------|--------------|--------|------------------------------|-----|
|                                     |                                      |                  | Rate         | Fee    | Rate                         | Fee |
| Total Claims: 20                    | *20                                  | 0                | x 11=        | \$0.00 | x 22=                        | \$  |
| Indep. Claims: 3                    | **3                                  | 0                | x 41=        | \$0.00 | x 82=                        | \$  |
| Multiple Dependent Claims Presented |                                      |                  | 135=         | \$0.00 | +270=                        | \$  |
| Extension Fees for Month            |                                      |                  |              | \$0.00 |                              | \$  |
|                                     |                                      |                  | Total:       | \$0.00 | Total:                       | \$  |

\*If less than 20, write 20

\*\*If less than 3, write 3

Please charge my Deposit Account No. 19-0089 in the amount of \$\_\_\_\_\_. A copy of this sheet is enclosed in triplicate.

N/A A Check in the amount of \$\_\_\_\_\_ to cover the filing/extension fee is included.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or

credit any overpayment to Deposit Account No. 19-0089. A copy of this sheet is enclosed in triplicate.

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of times fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136)(a)(3).

*P.O. No. 32674*  
 Neil E. Greenblum  
 Reg. No. 28,394

## FACSIMILE COVER SHEET

**TOTAL NUMBER OF PAGES, INCLUDING THIS COVER PAGE: 15**

CALLED FAX NO.: 308-7766

TO : U.S. Patent and Trademark Office  
Group Art Unit 3741 - Attn: Examiner Michael NEAS  
FROM : Greenblum & Bernstein, P.L.C.  
James L. Rowland, Reg. No. 32,674  
DATE : August 13, 1998  
SUBJECT : U.S. Pat. Appln No. 08/860,465, filed August 12, 1997  
Attorney Docket No. P15742.

AUG 13 1998  
GROUP 3400

Examiner Neas:

Following our telephone conversation and as you suggested, I am transmitting herewith copies of the papers filed, by hand-delivery, in the USPTO Mailroom on April 23, 1998 in response to the Office action of December 24, 1997. These papers include the following:

- a receipt of my law firm, Greenblum & Bernstein, PLC, bearing the date stamp of "OIPE" - U.S. Patent and Trademark Office, dated April 23, 1998, indicating that an amendment under §1.111 and a transmittal letter were filed on that date;
- a transmittal letter for the amendment;
- a 12-page response to the Office action, entitled "Amendment Under 37 C.F.R. §1.111".

I understand that these papers will be accepted in place of the originals, which have not been located and matched with your file. Please call me if any further information is needed.

Respectfully submitted,

  
James Rowland

Telephone No. : (703) 716-1191  
Fax No. : (703) 716-1180

\*\*\*\*\*CONFIDENTIALITY NOTE\*\*\*\*\*

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4/23/98

File In:

Mauram

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- Amendment 111

Executed Assignment and cover letter  
 by facsimile

Executed S.E.S.  by facsimile

Req. for Ext. of Time (in trip)

Fee Filing   
 Recording   
 Issue   
 Extension   
                

Fee Transmittal (in trip.)

Maintenance Fee Payment (in trip.)

PTOL-85B Issue Fee

Letter to Draftsman (in trip.)

Design Patent Application Transmittal

Utility Patent Application Transmittal

Provisional Application Cover Sheet

Continued Prosecution Application

(CPA) Request Transmittal (in trip.)

Cover Letter

Check No. for \$

Rule 53b and 53f Letter for  
Unexecuted Application

Claim of Priority & Certified  
Copy of \_\_\_\_\_

Declaration  Supplemental  
 New  Unexecuted  
 Executed by facsimile

Transmittal Letter (in trip.)  
 Patent Application  
 Provisional  Reexam  
 Reissue  Design  
 Continuation  CIP  
                 pages (w/abstract)  
                 claims        independent  
                 sheets of drawings  
                 figures

I.D.S. form PTO-1449 &  
References  as attached  
 as listed on  
                 reverse

One self-addressed postcard

In the matter of : IMPROVEMENT TO A HELMET HAVING RESILIENT BENDING MEANS IN THE LOWER REAR PORTION OF THE SHELL THEREOF

Applicant : Gilles BASSON \*  
Jean-Paul NOYERIE

Application No. : 08/860,465

Patent No. : 3

Filed : 8/12/97

**Issued :**

Docket : P15742 JR

